



IFW/AF

Attorney Docket No.: YOR920030075US1 (8728-613)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Louis L. Hsu et al. Examiner: Wilczewski, Mary A.
SERIAL NO.: 10/643,307 Group Art Unit: 2822
FILED: August 19, 2003 Dated: December 15, 2004
FOR: METAL-INSULATOR-METAL CAPACITOR AND METHOD OF
FABRICATING SAME

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

| For | Claims Remaining After Amendment | Highest No. Previously Paid For | Present Extra | Rate (Small Entity) | Addit. Fee | Rate | Addit. Fee |
|--|----------------------------------|---------------------------------|---------------|---------------------|------------|---------|------------|
| TOTAL CLAIMS* | 30 | 45 | 0 | x 25 = | \$0 | x 50 = | \$0 |
| INDEPENDENT CLAIMS | 5 | 8 | 0 | x 100 = | \$0 | x 200 = | \$0 |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim | | | | 180 | | 360 | \$0 |

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 15, 2004.

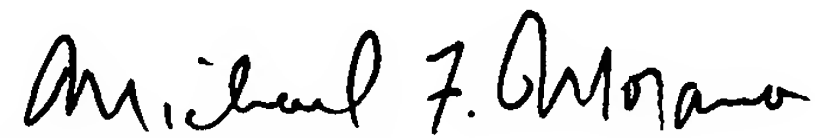
Dated: December 15, 2004


Michael F. Morano

- ☐ Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$_____. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$_____ is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC
130 Woodbury Road
Woodbury, NY 11797
(516) 692-8888

Respectfully submitted,



Michael F. Morano
Reg No. 44,952
Attorney for Applicant(s)



YOR920030075US1 (8728-613)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Louis L. Hsu et al. EXAMINER: Mary A. Wilczewski
SERIAL NO.: 10/643,307 GROUP ART UNIT: 2822
FILED: August 19, 2003
FOR: METAL-INSULATOR-METAL CAPACITOR AND METHOD OF
FABRICATING SAME

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

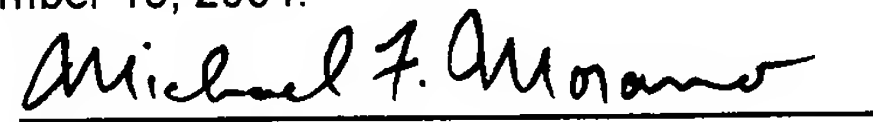
Sir:

This Amendment is submitted in response to the September 23, 2004 Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the September 23, 2004 Office Action is due on December 23, 2004. Therefore, this Amendment is being timely filed.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 15, 2004.

Dated: December 15, 2004


Michael F. Morano